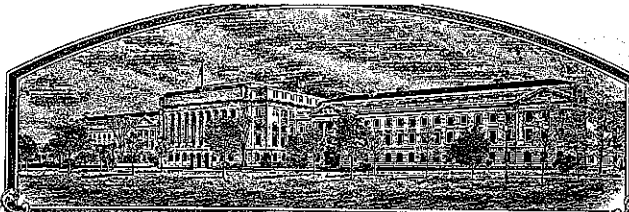


No.

200300096



THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

Pioneer Hi-Bred International, Inc.

Whereas, THERE HAS BEEN PRESENTED TO THE

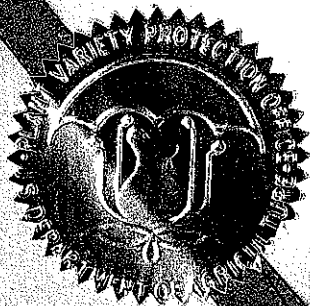
Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED DISTINCT VARIETY OF SEXUALLY REPRODUCED, OR TUBER PROPAGATED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF TWENTY YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR IMPORTING IT, OR EXPORTING IT, OR CONDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE ABOVE PURPOSE, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY PROTECTION ACT. (84 STAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

SOYBEAN

'92M72'



In Testimony Whereof, I have hereunto set my hand and caused the seal of the Plant Variety Protection Office to be affixed at the City of Washington, D.C. this eighteenth day of July, in the year two thousand three.

Attest:

R. M. J. [Signature]

Commissioner
Plant Variety Protection Office
Agricultural Marketing Service

[Signature]

Secretary of Agriculture

U.S. DEPARTMENT OF AGRICULTURE
AGRICULTURAL MARKETING SERVICE
SCIENCE AND TECHNOLOGY - PLANT VARIETY PROTECTION OFFICE

APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE
(Instructions and information collection burden statement on reverse)

The following statements are made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a) and the Paperwork Reduction Act (PRA) of 1995.

Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426).

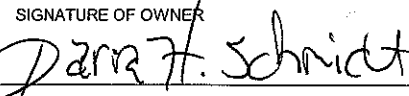
1. NAME OF OWNER Pioneer Hi-Bred International, Inc		2. TEMPORARY DESIGNATION OR EXPERIMENTAL NAME	3. VARIETY NAME 92M72
4. ADDRESS (Street and No., or R.F.D. No., City, State, and ZIP Code, and Country) 7300 N. W. 62 nd Avenue P.O. Box 1004 Johnston, IA 50131		5. TELEPHONE (include area code) 515-254-2638	<div style="border: 1px solid black; padding: 5px;"> FOR OFFICIAL USE ONLY PVPO NUMBER <div style="font-size: 1.5em; font-weight: bold;">200300096</div> FILING DATE <div style="font-size: 1.2em; font-weight: bold;">1/28/2003</div> </div>
		6. FAX (include area code) 515-253-2478	
7. IF THE OWNER NAMED IS NOT A "PERSON", GIVE FORM OF ORGANIZATION (corporation, partnership, association, etc.) Corporate	8. IF INCORPORATED, GIVE STATE OF INCORPORATION Iowa	9. DATE OF INCORPORATION May 6, 1926	
10. NAME AND ADDRESS OF OWNER REPRESENTATIVE(S) TO SERVE IN THIS APPLICATION. (First person listed will receive all papers) <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> Daria Schmidt, Ph. D. 7300 N.W. 62nd Avenue P.O. Box 1004 Johnston, IA 50131-1004 </div> <div style="width: 45%;"> Steve Callestine, Exq 7100 N.W. 62nd Avenue P.O. Box 1000 Johnston, IA 50131-1000 </div> </div>			<div style="border: 1px solid black; padding: 5px;"> FILING AND EXAMINATION FEES: <div style="font-size: 1.2em; font-weight: bold;">\$ 2,705.⁰⁰</div> DATE 1/28/2003 CERTIFICATION FEE: <div style="font-size: 1.2em; font-weight: bold;">\$ 432.⁰⁰</div> DATE 6/18/03 </div>
11. TELEPHONE (include area code) 515-254-2638	12. FAX (include area code) 515-253-2478	13. E-MAIL daria.schmidt@pioneer.com	14. CROP KIND (Common Name) Soybean
18. CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED (Follow instructions on reverse) a. <input type="checkbox"/> X Exhibit A. Origin and Breeding History of the Variety b. <input type="checkbox"/> X Exhibit B. Statement of Distinctness c. <input type="checkbox"/> X Exhibit C. Objective Description of Variety d. <input type="checkbox"/> X Exhibit D. Additional Description of the Variety (Optional) e. <input type="checkbox"/> X Exhibit E. Statement of the Basis of the Owner's Ownership f. <input type="checkbox"/> X Voucher Sample (2,500 viable untreated seeds or, for tuber propagated varieties, verification that tissue culture will be deposited and maintained in an approved public repository) g. <input type="checkbox"/> X Filing and Examination Fee (\$2,705), made payable to "Treasurer of the United States" (Mail to the Plant Variety Protection Office)		19. DOES THE OWNER SPECIFY THAT SEED OF THIS VARIETY BE SOLD AS A CLASS OF CERTIFIED SEED? See Section 83(a) of the Plant Variety Protection Act <input type="checkbox"/> YES (If "yes", answer items 20 and 21 below) <input checked="" type="checkbox"/> X NO (If "no," go to item 22)	
		20. DOES THE OWNER SPECIFY THAT SEED OF THIS VARIETY BE LIMITED AS TO NUMBER OF CLASSES? <input type="checkbox"/> YES <input type="checkbox"/> NO IF YES, WHICH CLASSES? <input type="checkbox"/> FOUNDATION <input type="checkbox"/> REGISTERED <input type="checkbox"/> CERTIFIED	
		21. DOES THE OWNER SPECIFY THAT THE CLASSES BE LIMITED AS TO NUMBER OF GENERATIONS? <input type="checkbox"/> YES <input type="checkbox"/> NO IF YES, SPECIFY THE NUMBER 1, 2, 3, etc. <input type="checkbox"/> FOUNDATION <input type="checkbox"/> REGISTERED <input type="checkbox"/> CERTIFIED (If additional explanation is necessary, please use the space indicated on the reverse.)	
22. HAS THE VARIETY (INCLUDING ANY HARVESTED MATERIAL) OR A HYBRID PRODUCED FROM THIS VARIETY BEEN SOLD, DISPOSED OF, TRANSFERRED, OR USED IN THE U. S. OR OTHER COUNTRIES? <input type="checkbox"/> YES <input checked="" type="checkbox"/> X NO IF YES, YOU MUST PROVIDE THE DATE OF FIRST SALE, DISPOSITION, TRANSFER, OR USE FOR EACH COUNTRY AND THE CIRCUMSTANCES. (Please use space indicated on reverse.)		23. IS THE VARIETY OR ANY COMPONENT OF THE VARIETY PROTECTED BY INTELLECTUAL PROPERTY RIGHT (PLANT BREEDER'S RIGHT OR PATENT)? <input type="checkbox"/> YES <input checked="" type="checkbox"/> X NO IF YES, GIVE COUNTRY, DATE OF FILING OR ISSUANCE AND ASSIGNED REFERENCE NUMBER. (Please use space indicated on reverse.)	
24. The owners declare that a viable sample of basic seed of the variety will be furnished with application and will be replenished upon request in accordance with such regulations as may be applicable, or for a tuber propagated variety a tissue culture will be deposited in a public repository and maintained for the duration of the certificate. The undersigned owner(s) is(are) the owner of this sexually reproduced or tuber propagated plant variety, and believe(s) that the variety is new, distinct, uniform, and stable as required in Section 42, and is entitled to protection under the provisions of Section 42 of the Plant Variety Protection Act. Owner(s) is(are) informed that false representation herein can jeopardize protection and result in penalties.			
SIGNATURE OF OWNER 		SIGNATURE OF OWNER	
NAME (Please print or type) Daria H. Schmidt		NAME (Please print or type)	
CAPACITY OR TITLE Director, Technology Integration and Associative Genetics	DATE 1/13/03	CAPACITY OR TITLE	DATE

Exhibit A. Origin and Breeding History of the Variety

Soybean Variety 92M72

200300096

Variety 92M72 evolved from a cross made in the winter of 1994/1995 in Chile with the following parentage:

Parentage: ST2621/93B82

Variety 92M72 is an F4-derived line which was advanced to the F5 generation by modified single-seed descent. The F5 progeny row of 92M72 was grown in a plant row yield trial in the summer of 1997. Subsequently, 92M72 has undergone five years of extensive testing and purification and has been observed by the breeder to be uniform and stable for all plant traits from generation to generation, with no evidence of variants. On the basis of yield, Phytophthora resistance, and resistance to brown stem rot, variety 92M72 was assigned a commercial number.

The purification block was grown in 2000 Illinois in 78 subline rows, of which 27 were harvested. A quarter acre increase was grown in Chile in 2000/2001. Nine (9) acres of parent seed stock was grown in 2001. One hundred thirty-one (131) acres of parent and production seed were grown in the summer of 2002.

Exhibit B. Statement of Distinctness

200300096

Soybean Variety 92M72

Variety 92M72 is most similar to variety 9281. Both varieties have purple flowers, and yellow seed with black hila and multi-race resistance to *Phytophthora megasperma* as governed by the Rps1k gene. However, 92M72 has light tawny pubescence whereas 9281 has tawny pubescence.

Variety 92M72 is also similar to 92B75. Both varieties have purple flowers, yellow seed with black hila, and multi-race resistance to *Phytophthora megasperma* as governed by the Rps1k gene. However, 92M72 has light tawny pubescence and is not resistant to Roundup branded herbicides whereas 92B75 has tawny pubescence and resistance to Roundup branded herbicides.

Variety 92M72 is also similar to A2804 from Asgrow (Monsanto). Both varieties have purple flowers, yellow seed with black hila, multi-race resistance to *Phytophthora megasperma* as governed by the Rps1k gene. However 92M72 has light tawny pubescence and does not carry a modified ALS gene for resistance to certain sulfonyl urea herbicides whereas A2804 has tawny pubescence and contains a modified ALS gene for resistance to certain sulfonyl urea herbicides.

the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. B control number for this collection of information is (0581-0055). The time required to complete this information collection is estimated to average 30 minutes per response, including the time for instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

Department of Agriculture (USDA) prohibits discrimination in all its programs and activities on the basis of race, color, national origin, gender, religion, age, disability, political beliefs, sexual and marital or family status. (Not all prohibited bases apply to all programs.) Persons with disabilities who require alternative means for communication of program information (Braille, large print, c.) should contact the USDA's TARGET Center at 202-720-2600 (voice and TDD).

plaint of discrimination, write USDA, Director, Office of Civil Rights, Room 326C, Whitten Building, 14th and Independence Avenue, SW, Washington, DC 20250-9410 or call (202) 720-5964 (D). USDA is an equal opportunity provider and employer.

**U.S. DEPARTMENT OF AGRICULTURE
AGRICULTURAL MARKETING SERVICE
SCIENCE AND TECHNOLOGY
PLANT VARIETY PROTECTION OFFICE
BELTSVILLE, MD 20705**

**EXHIBIT C
(Soybean)**

**OBJECTIVE DESCRIPTION OF VARIETY
SOYBEAN (*Glycine max* (L.) Merr.)**

NAME OF APPLICANT(S) Pioneer Hi-Bred, International	FOR OFFICIAL USE ONLY PVPO NUMBER
ADDRESS (Street and No. or R.F.D. No., City, State, and Zip Code)	200300096
7200 62nd Avenue P.O. Box 1004 Johnston, IA 50131-1004	VARIETY NAME 92M72
	TEMPORARY OR EXPERIMENTAL DESIGNATION

PLEASE READ ALL INSTRUCTIONS CAREFULLY: Place the appropriate number that describes the varietal character of this variety in the boxes below.

Place a zero in the first box (e.g.

9	9	9
---	---	---

 or

0	9
---	---

) when number is either 99 or less or 9 or less respectively. Data for quantitative

plant characters should be based on a minimum of 100 plants. Comparative data should be determined from varieties entered in the same trial. Royal Horticultural Society or any recognized color standard may be used to determine plant colors; designate system used:

Please answer all questions for your variety; lack of response may delay progress of your application.

A. MORPHOLOGY

Seed Shape

1

1 = Spherical
(L/W, L/T, and T/W ratios < 1.2)

2 = Spherical - Flattened
(L/W ratio > 1.2; L/T ratio < 1.2)

3 = Elongated
(L/T ratio . 1.2; T/W ratio < 1.2)

4 = Elongate - Flattened
(L/T ratio > 1.2; T/W ratio > 1.2)

Seed Coat Color:

1

1 = Yellow

2 = Green

3 = Brown

4 = Black

5 = Other

(Please Specify)

Seed Coat Luster:

1

1 = Dull

2 = Shiny

Seed Size:

17.4

grams/100 seeds

Hilum Color:

6

1 = Buff

2 = Yellow

3 = Brown

4 = Gray

5 = Imperfect Black

6 = Black

7 = Other (Please Specify)

--

A. MORPHOLOGY (Continued)

Cotyledon Color:

1 = Yellow 2 = Green

200300096

Seed Protein Peroxidase Activity:

1 = Low 2 = High

Hypocotyl Color:

1 = Green ('Evans' or 'Davis') 2 = Green with Bronze Bands below Cotyledons ('Woodworth' or 'Tracy') 3 = Light Purple below Cotyledons ('Beeson' or 'Pickett 71') 4 = Dark Purple extending to unifoliate leaves ('Hodgson', 'Coker', or

Leaflet Shape:

1 = Lanceolate 2 = Oval 3 = Ovate 4 = Other (Please Specify)

Flower Color:

1 = White 2 = Purple 3 = White with a Purple Throat

Pod Color:

1 = Tan 2 = Brown 3 = Black

Pubescence Color:

1 = Gray 2 = Brown (Tawny) 3 = Light Tawny

Plant Habit:

1 = Determinate 2 = Semi - Determinate 3 = Indeterminate 4 = Intermediate

Maturity Group:

1 = 000 2 = 00 3 = 0 4 = I 5 = II
6 = III 7 = IV 8 = V 9 = VI 10 = VII
11 = VIII 12 = IX 13 = X 14 = XI 15 = XII

Maturity Subgroup:

Please enter a value from 0 - 9

B. DISEASE REACTION

0 = Not Tested 1 = Susceptible 2 = Resistant 3 = Tolerant

Bacterial

Bacterial Pustule (*Xanthomonas campestris* pv. *glycines* (Nakano) Dye)

Bacterial Blight *Pseudomonas syringa* pv. *glycinea* (Coerper) Young, Dye, and Wilkie)

Wildfire Blight *Pseudomonas syringa* pv. *tabaci* (Wolf Foster) Young, Dye, Wilkie)

Fungal

200300096

<input type="text" value="1"/>	Brown Spot (<i>Septoria glycines</i> Hemmi)
	Frogeye Leaf Spot (<i>Cercospora sojina</i> Hara)
<input type="text" value="0"/>	race 1
<input type="text" value="0"/>	race 2
<input type="text" value="0"/>	race 3
<input type="text" value="0"/>	race 4
<input type="text" value="0"/>	race 5
<input type="text" value="0"/>	race 6
<input type="text" value=""/>	Other (Please Specify) <input type="text"/>
<input type="text" value="0"/>	Target Spot (<i>Corynespora cassiicola</i> (Berk. Curt.) Wei)
<input type="text" value="0"/>	Downey Mildew (<i>Peronospora trifoliorum</i> var. <i>manchuric</i> (Naum.) Syd. ex Gäum)
<input type="text" value="0"/>	Powdery Mildew (<i>Microsphaera diffusa</i> Cke. and Pk.)
<input type="text" value="2"/>	Brown Stem Rot (<i>Phialophora gregata</i> (Allington Chamberlain) W. Gams.)
<input type="text" value="0"/>	Stem Canker (<i>Diaporthe phaseolorum</i> (Cke. and Ell.) Sacc. var. <i>caulivora</i> Athow and Caldwell)
<input type="text" value="1"/>	Pod and Stem Blight (<i>Diaporthe phaseolorum</i> (Cke. and Ell.) Sacc. var. <i>sojae</i> (Lehman) Wehm)
<input type="text" value="0"/>	Purple Seed Stain (<i>Cercospora kikuchii</i> (T. Matsu. and Tomoyasu) Gardener)
<input type="text" value="1"/>	Rhizoctonia Root Rot (<i>Rhizoctonia solani</i> Kühn)

Phytophthora Root Rot (*Phytophthora megasperma* Drechs. f. sp. *glycinea* (Kuan Erwin))

<input type="text" value="0"/>	race 1	<input type="text" value="0"/>	race 8	<input type="text" value="0"/>	race 15	<input type="text" value="0"/>	race 22
<input type="text" value="0"/>	race 2	<input type="text" value="0"/>	race 9	<input type="text" value="0"/>	race 16	<input type="text" value="0"/>	race 23
<input type="text" value="2"/>	race 3	<input type="text" value="0"/>	race 10	<input type="text" value="0"/>	race 17	<input type="text" value="0"/>	race 24
<input type="text" value="2"/>	race 4	<input type="text" value="0"/>	race 11	<input type="text" value="0"/>	race 18	<input type="text" value="1"/>	race 25
<input type="text" value="0"/>	race 5	<input type="text" value="0"/>	race 12	<input type="text" value="0"/>	race 19	<input type="text" value="0"/>	race 26
<input type="text" value="0"/>	race 6	<input type="text" value="0"/>	race 13	<input type="text" value="0"/>	race 20	<input type="text" value=""/>	Other (Please Specify)
<input type="text" value="2"/>	race 7	<input type="text" value="0"/>	race 14	<input type="text" value="0"/>	race 21	<input type="text" value=""/>	<input type="text"/>
<input type="text" value="1"/>	Bud Blight (Tobacco Ringspot Virus)						
<input type="text" value="1"/>	Yellow Mosaic (bean Yellow Mosaic Virus)						

B. DISEASE REACTIONS (Continued) 0 = Not Tested 1 = Susceptible 2 = Resistant 3 = Tolerant

Fungal

200300096

Cowpea Mosaic (Cowpea Chlorotic Virus)

Pod Mottle (Bean Pod Mottle Virus)

Seed Mottle (Soybean Mosaic Virus)

Nematode

Soybean Cyst Nematode (*Heterodera glycines* Ichinohe)

<input type="text" value="0"/> race 1	<input type="text" value="0"/> race 4	<input type="text" value="0"/> race 9
<input type="text" value="0"/> race 2	<input type="text" value="0"/> race 5	<input type="text" value="0"/> race 14
<input type="text" value="0"/> race 3	<input type="text" value="0"/> race 6	<input type="text"/> Other (Please Specify)

Lance Nematode (*Hoploaimus columbus* Sher)

Southern Root Knot Nematode (*Meloidogyne incognita* (Kofoid and White) Chitwo)

Northern Root Knot Nematode (*Meloidogyne hapla* Chitwood)

Peanut Root Knot Nematode (*Meloidogyne arenaria* (Neal) Chitwood)

Reniform Nematode (*Rotylenchus reniformu* Linwood and Olivera)

Javanese Nematode (*Meloidogyne javanica* (Treub) Chitwood)

Other Nematode (Please Specify)

C. PHYSIOLOGICAL RESPONSES 0 = Not Tested 1 = Susceptible 2 = Resistant 3 = Tolerant

Iron Chlorosis on Calcareous Soil

Phosphorus

Other (Please Specify)

Boron

Aluminu

Salt

Drought

D. INSECT REACTIONS

0 = Not Tested 1 = Susceptible 2 = Resistant 3 = Tolerant

Fungal

 Mexican Bean Beetle (*Epilachna varivestis* Mulsant)

200300096

 Potato Leaf Hopper (*Empoasca fabae* (Harris)) Other (Please Specify) **E. HERBICIDE REACTIONS**

0 = Not Tested 1 = Susceptible 2 = Resistant

 Metribuzin Bentazone Sulfonyleurea Glyphosate Glufosinate Pendimethalin Other (Please Specify) **F. TRANSGENIC COMPOSITION**

Has the development of the subject variety included the insertion of genetic material from an organism other than a soybean, or, the removal of genetic material from the application variety? ☐ Yes ☒ No

If yes, please complete the following information requests*. Use additional pages if necessary.

1. Please state the vector's name:

2. Please state the vector components:

3. Please describe the genetic material successfully transferred into the subject variety:

4. Please describe the insertion protocol:

* A literature citation(s) explaining the four information requests above may be an acceptable alternative to completion of the "Transgenic Composition" portion of this form. This section is fully addressed in the following publication. Specific details of the vector components and insert elements are summarized in Figure 1 and Table 1 on page 1453. Padgett, S.R. et al. Development, Identification, and Characterization of a Glyphosate-Tolerant Soybean Line. 1995. Crop Science. 35:1451-

G. BIOCHEMICAL MARKERS

Please describe any biochemical information here, which you believe will be helpful in further describing the subject variety (e.g. Simple Sequences Repeats, Restriction Fragment Length Polymorphisms, Isozymic Characterization). Use additional pages if necessary.

H. COMMENTS

200300096

Exhibit D. Additional Description of the Variety

200300096

Soybean Variety 92M72

In Exhibit C we have identified variety 92M72 as susceptible to bacterial blight, brown spot, pod and stem blight, rhizoctonia root rot, bud blight, yellow mosaic, cowpea mosaic, pod mottle and seed mottle.

This does not mean that variety 92M72 is any worse for these problems than other varieties of similar maturity. Rather, we do not consider 92M72 to be immune to these problems. Therefore, we have chosen to be conservative and have identified the line as "susceptible".

Variety 92M72 is a late Group 2 variety. If Group 2 varieties are divided into tenths, the relative maturity of 92M72 is 2.7.

U.S. DEPARTMENT OF AGRICULTURE
AGRICULTURAL MARKETING SERVICE

The following statements are made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a) and the Paper Reduction Act (PRA) of 1995.

EXHIBIT E
STATEMENT OF THE BASIS OF OWNERSHIP

Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). The information is held confidential until the certificate is issued (7 U.S.C. 2426).

1. NAME OF APPLICANT(S) Pioneer Hi-Bred International, Inc	2. TEMPORARY DESIGNATION OR EXPERIMENTAL NUMBER	2. VARIETY NAME 92M72
4. ADDRESS (Street and No., or R.F.D. No., City, State, and ZIP, and Country) 7300 N.W. 62 nd Avenue P.O. Box 1004 Johnston, IA 50131-1004	5. TELEPHONE (Include area code) 515-254-2638	6. FAX (Include area code) 515-253-2478
7. PVPO NUMBER 200300096		

8. Does the applicant own all rights to the variety? Mark an "X" in the appropriate block.
If no, please explain.☐ X YES ☐ NO9. Is the applicant (individual or company) a U.S. National or a U.S. based company?
If no, give name of country☐ X YES ☐ NO

10. Is the applicant the original owner?

☐ X YES ☐ NO

If no, please answer one of the following:

a. If the original rights to variety were owned by individual(s), is (are) the original owner(s) a U.S. National(s)?

☐ YES ☐ NO

If no, give name of country

b. If the original rights to variety were owned by a company(ies), is (are) the original owner(s) a U.S. based company?

☐ YES ☐ NO

If no, give name of country

11. Additional explanation on ownership (If needed, use the reverse for extra space):

Please Note:

Plant variety protection can only be afforded to the owners (not licensees) who meet the following criteria:

1. If the rights to the variety are owned by the original breeder, that person must be a U.S. national, national of a UPOV member country, or national of a country which affords similar protection to nationals of the U.S. for the same genus and species.
2. If the rights to the variety are owned by the company which employed the original breeder(s), the company must be U.S. based, owned by nationals of a UPOV member country, or owned by nationals of a country which affords similar protection to nationals of the U.S. for the same genus and species.
3. If the applicant is an owner who is not the original owner, both the original owner and the applicant must meet one of the above criteria.

The original breeder/owner may be the individual or company who directed the final breeding. See Section 41(a)(2) of the Plant Variety Protection Act for definitions.

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0581-0055. The time required to complete this information collection is estimated to average 6 minutes per response, including the time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

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To file a complaint, write the Secretary of Agriculture, U.S. Department of Agriculture, Washington, D.C. 20250, or call 1-800-245-6340 (voice) or (202) 720-1127 (TDD). USDA is an equal opportunity employer.

ST-470-E (04-99) (Destroy previous editions).